

**THIRD OFF-SITE CORRECTIVE ACTION PLAN  
ASSESSMENT**

of

**HAMILTON CORRECTIONAL INSTITUTION**

for the

Physical and Mental Health Survey  
Conducted May 6 - 7, 2015

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## **CAP Assessment of Hamilton Correctional Institution**

### **I. Overview**

On May 6-7, 2015, the Correctional Medical Authority (CMA) conducted an on-site physical and mental health survey of Hamilton Correctional Institution (HAMCI). The survey report was distributed on May 26, 2015. In June 2015, HAMCI submitted and the CMA approved, the institutional corrective action plan (CAP) which outlined the efforts to be undertaken to address the findings of the May 2015 survey. These efforts included in-service training, physical plant improvements, and the monitoring of applicable medical records for a period of no less than ninety days. On October 2, 2015, CMA staff requested access to monitoring documents to assist in determining if an on-site or off-site assessment should be conducted. Based on the documents provided, CMA staff conducted an on-site CAP assessment on October 28, 2015 to evaluate the effectiveness of corrective actions taken by institutional staff. The CAP closure files revealed sufficient evidence to determine that on the Main Unit, 12 of 12 physical health findings and 8 of 8 mental health findings were corrected. Additionally, at the Annex 7 of 8 physical health findings and 6 of 9 mental health findings were corrected.

On February 9, 2016, CMA staff requested access to monitoring documents to assist in determining if an on-site or off-site assessment should be conducted. Based on the documents provided, an off-site CAP assessment was conducted on March 14, 2016 to evaluate the effectiveness of corrective actions taken by institutional staff. The CAP closure files revealed sufficient evidence to determine that 1 of 1 physical health findings and 1 of 3 mental health findings were corrected on the Annex.

On May 12, 2016, CMA staff requested access to monitoring documents to assist in determining if an on-site or off-site CAP assessment should be conducted. Based on the documents provided, an off-site CAP assessment was conducted on June 23, 2016 to evaluate the effectiveness of corrective actions taken by institutional staff. Items II and III below describe the outcome of the CMA's evaluation of the institution's efforts to address the survey findings.

### **II. Physical Health Assessment Summary**

#### **A. Main Unit**

All physical health findings were closed on the first CAP assessment.

#### **B. Annex**

All physical health findings were closed on the second CAP assessment.

### **III. Mental Health Assessment Summary**

#### **A. Main Unit**

All mental health findings were closed on the first CAP assessment.

## B. Annex

The CAP closure files revealed evidence to determine that 2 of 2 mental health findings were corrected. All mental health findings are closed.

Finding	CAP Evaluation Outcome
<p><b><u>SELF-HARM OBSERVATION STATUS (SHOS)</u></b></p> <p><b>MH-4: In 7 of 10 records reviewed, mental health staff did not provide post-discharge follow-up within 7 days.</b></p>	<p><b>MH-4 CLOSED</b></p> <p>Adequate evidence of in-service training and documentation of correction were provided to close MH-4.</p>

Finding	CAP Evaluation Outcome
<p><b><u>SPECIAL HOUSING</u></b></p> <p><b>MH-6: In 2 of 6 applicable records (8 reviewed), the mental status exam (MSE) was not in the medical record or not completed within the required time frame.</b></p>	<p><b>MH-6 CLOSED</b></p> <p>Adequate evidence of in-service training and documentation of correction were provided to close MH-6.</p>

## IV. Conclusion

All findings as a result of the May 2015 survey are closed and no further action is required on this CAP. The CMA appreciates the efforts to improve services and documentation at this institution and continues to encourage ongoing quality improvement activities to ensure that the proper provision of health care services is maintained.